WEEKLY COMMUNICATIONS

HARBOUR INVESTMENTS, INC.
Registered Investment Advisor & Independent Broker Dealer

WWW.HARBOURINV.COM

October 5–11, 2026

QUEST CE EMAIL REMINDERS

If you have not completed your 2026 Annual questionnaire OR Code of Ethics Attestation, you will receive reminder emails.

Please note the reminder emails that were sent out from Quest today stated this was for 2025 not 2026. This is an error, we apologize for the inconvenience.

If you do not complete both your 2026 Annual Questionnaire AND your Code of Ethics attestation, you will receive weekly reminders in October and daily reminders in November until they both are completed.

2026 ANNUAL CONFERENCE SESSION RECORDINGS

Reminder - Compliance-approved sessions have been added to their corresponding folders in this Google Drive folder

HARBOUR INVESTMENTS, INC.
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PRODUCT SPOTLIGHT

LONG/SHORT FUND

Interested? please contact, Spencer Bell at 773-251-3175 or sbell@cpfunds.com

Counterpoint Tactical Equity Fund (Symbol CPIEX) is designed to pursue both capital appreciation and capital preservation through a globally diversified long-short strategy. The portfolio maintains neutrality across currencies and sectors while employing a tactical overlay that adapts to changing market conditions. During favorable market environments, the strategy shifts to a risk-on posture to capture opportunities, while extended market downturns trigger a risk-off approach focused on protecting capital. This disciplined, adaptive framework aims to help investors navigate a wide range of market cycles.

COUNTERPOINT

HARBOUR INVESTMENTS, INC.
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UPCOMING *Succession Planning Events*

Appleton BEER FACTORY

4:00–6:00 PM
Appleton Beer Factory
Appleton, WI
CLICK HERE TO SIGN UP
Nov 4 WEDNESDAY

craftsman table & tap

4:00–6:00 PM
Craftsman Table & Tap
Middleton, WI
CLICK HERE TO SIGN UP
Nov 5 THURSDAY

RAISED GRAIN RG BREWING COMPANY

4:00–6:00 PM
Raised Grain Brewing Co
Waukesha, WI
CLICK HERE TO SIGN UP
Nov 9 MONDAY

PACIFIC LIFE

Save the Date

*Following the great response to Chris Brady's **Trilogy Questions** session at our conference, the Harbour Women's Group has coordinated with Chris and Pacific Life to bring his full six-part Practice Management Series to you virtually.*

Wednesday, October 28
9:00 AM CST

Friday, December 11
11:00 AM CST
(tentative)

Join the meeting here via Zoom

As the remaining sessions are scheduled, we'll share the dates and details. We hope you'll join us for this valuable series!

HAPPY HOLIDAYS

Please join us at the following holiday celebrations

TUESDAY 11/17

Michigan
Brick & Brine - Foundation Room
5:30-8:30 PM

RSVP by Friday, October 30th

Click here to sign up!

TUESDAY 12/1

Minnesota
CRAVE Food & Drink - Sun Room
5:30-8:30 PM

RSVP by Friday, November 13th

Click here to sign up!

MONDAY 12/7

Milwaukee, WI
Fleming's Brookfield - Sonoma Room
5:30-8:30 PM

RSVP by Friday, November 20th

Click here to sign up!

TUESDAY 12/8

Green Bay, WI
Hinterland Brewery - Barrel Room
5:00-8:00 PM

RSVP by Friday, November 20th

Click here to sign up!

WEDNESDAY 12/16

Madison, WI
Buck & Honey's Monona - Inland Room
6:00 PM - 9:00 PM

RSVP by Friday, November 27th

Click here to sign up!

HARBOUR INVESTMENTS ANNUAL SURVEY

We are pleased to invite you to participate again in our annual Harbour Investments Advisor Survey. Your feedback is invaluable and helps us better understand the needs of our advisors as the financial industry continues to evolve.

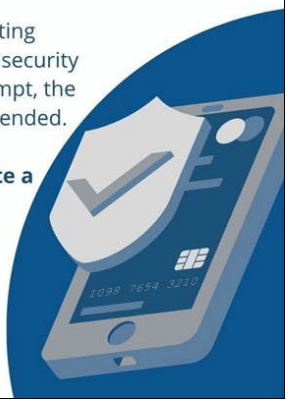
We appreciate your time and input & thank you in advance for your participation.

CLICK HERE TO START THE SURVEY

The survey will be available until mid October and is also available on the Harbour website

Atlas Password Change

As previously communicated, we are implementing password resets in Atlas as part of our ongoing security enhancement efforts. During our previous attempt, the password reset setting did not take effect as intended.

Users should expect to be prompted to create a new password by the end of October. Going forward, this password update will occur annually around the same time each year.

If you have any questions, please contact atlas@harbourinv.com.