

36 MONTH EMAILS & LETTERS DASHBOARD REMINDER

Please review the 36 Month Emails & Letters Report (on the Status Tracking Dashboard) by 3/31/2026. After that, Harbour will proceed with sending emails/letters, unless an exception needs to be made.

As a reminder, we have a [video](#) showing you how to mark any exceptions for clients that should not receive these emails/letters. Please see the attached instructions from that video. Reach out to atlas@harbourinv.com with any questions.

Review by 3/31/2026
Click to see 36 Month Email Example



Reach out to atlas@harbourinv.com with any questions

DIRECT INDEXING 101

Direct indexing has become an increasingly popular tool for advisors working with high-net-worth clients, as well as those seeking more customized solutions beyond traditional mutual funds and ETFs.

The attached brochure outlines the key benefits of direct indexing, highlights unique client situations where this strategy can be particularly effective, and provides important criteria to consider when selecting a direct indexing provider.

Harbour has partnered with firms such as First Trust, Russell Investments, and Charles Schwab to offer direct indexing solutions to Harbour advisors.

If you have any questions or would like to explore this strategy in more detail, please reach out to Daniel Wipperfurth at Harbour or connect with your local wholesaler.



QUEST CE

Privacy Policy Attestation

Harbour has assigned the Privacy Policy Attestation questionnaire in Quest CE. When you log into Quest, please follow these instructions to complete the questionnaire.

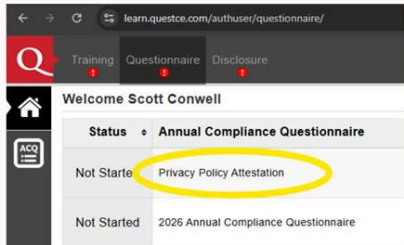
When you log into Quest, if you do not see the Privacy Policy Attestation, you do not have to complete the questionnaire.

1 Log Into Quest CE:
<https://learn.questce.com/>

2 Go to Questionnaire tab:



3 You will see "Privacy Policy Attestation" with a "Begin" button on the far right side; click Begin



We will be sending an email with full details tomorrow

PROCEDURAL REMINDERS

- Rollover checks may not be coded as "Earned Income" in the Deposit Blotter in Atlas. Please make sure they are coded as "IRA Rollover / Pension Proceeds / Retirement Plan Proceeds".
- Quite a few submissions of paperwork are being added to Atlas without the proper Harbour forms attached. If the Harbour forms are completed, please attach them to the same file. If they are not ready yet, please add a note to make us aware of their status.
- Contributions coming from Company checking accounts are not allowed to be added to individual Traditional IRAs or Roth IRAs. Please make sure the client uses a personal check to add contributions to their IRA account. This is part of Harbour's Anti-Money Laundering Procedures.

2026

REGIONAL MEETINGS

CLICK EACH DATE BELOW TO SIGN UP!

16
JUNE

Madison, WI at The Oaks

Morning presentations, followed by lunch, an afternoon of golf, and dinner at the course.

2
JUNE

Minnesota at The 6Smith

Dinner in the Revel Room with panoramic views of Lake Minnetonka and the Boat Works Marina.

30
JUNE

Green Bay, WI at Thornberry Creek

Morning presentations, followed by lunch and an afternoon of golf.

28
JULY

Michigan at American Dunes

Morning presentations, followed by lunch and an afternoon of golf.

Happy Birthday!

March 16

Robert Groves - Appleton, WI

Happy Anniversary!

March 19

Robert Packard - Oregon, WI

March 22

Craig Anderson - St. Joseph, MI