

WEEKLY COMMUNICATIONS

WWW.HARBOURINV.COM



January 26-February 1, 2026



CE AND QUESTIONNAIRE ASSIGNMENT

We are pleased to inform you that the CE and the questionnaire have been assigned to all advisors in Quest. It is essential that these be completed in a timely fashion. The deadline for submission is November 30. We will send out monthly reminders to help keep everyone on track. Thank you for your prompt attention to this matter.

As we continue our diligent efforts to maintain compliance and uphold the highest standards in our practices, we kindly remind you to ensure that your Outside Business Activities (OBAs) are up-to-date in Quest. Additionally, if you are currently engaged or have previously engaged in any Private Securities Transactions (that was already approved), please make sure to contact Harbour and enter the transaction details in Quest. Keeping this information current is essential for our collective success and compliance. Thank you for your prompt attention to this matter, and for your continued dedication to excellence.

HTM SUBMISSIONS

You can now upload documents into **Harbour Traded Model (HTM)** home office requests!

If you have your HTM document to upload, do so here:

[Upload Files](#) Or drop files

2026 BROCHURE SUPPLEMENT UPDATES

All advisor brochure supplements have now been updated on our website. Please review them and let JoAnne know of any changes needed to be made.



COMPLIANCE CORNER

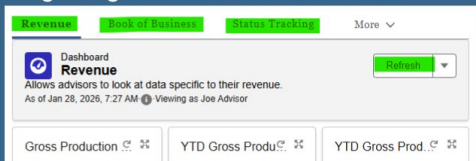
As a reminder during the tax season, when many clients are adding to their IRA accounts, please remember that additions to Traditional and Roth IRAs cannot be made using a company check. Additionally, Harbour does not allow starter checks to be deposited into accounts. The client can use a bank check, usually a Cashier's Check, until they can get checks with the bank account owner's name printed on them. Unfortunately, the use of starter checks increases the possibility of money laundering.

Reminder to Refresh Dashboards!

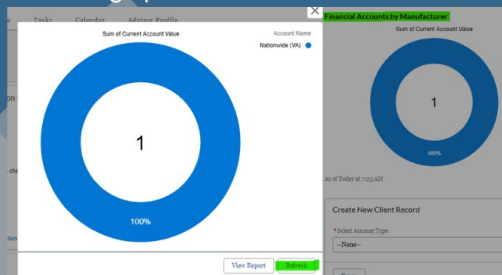


We get many questions about dashboard data being inaccurate, or missing data.

This is because the dashboard likely needs to be refreshed! All Atlas dashboards have a "Refresh" button in the top-right corner. **Click that** to ensure you're getting the most accurate data:



This also applies to the **Financial Accounts by Manufacturer** pie chart on the right side of your home page. Click the chart and click "Refresh" to ensure you're seeing up to date data:



**Our very own Andrew
Salemme's wife Britta will
be representing the United
States on the Women's
Hockey Team.**

**Andrew is a licensed
assistant with
Czukas, McCall &
Associates.**

Best of luck Britta!



**2026
OLYMPICS**



Happy Birthday!



January 26
Mark Waldoch - Geneva, IL

January 30
Stephanie Ehr - Green Bay, WI
Kimberly Schreiber - Milwaukee, WI

February 1
Kerry Davis - Appleton, WI

Happy Anniversary!

January 25
Kendra Erkamaa - West Des Moines, IA

January 31
Mark Herding - Phoenix, AZ
Josh Ryan - Gilbert, AZ
Keith Wicklund - Roscoe, IL

February 1
Gavin Dues - Troy, OH
Stephanie Ehr - Green Bay, WI