WEEKLY COMMUNICATIONS

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HARBOUR INVESTMENTS, INC.
Registered Investment Adviser
& Independent Broker Dealer

September 8-14, 2025

2025 CONFERENCE IN-PERSON ATTENDEES

Monday - 8:00AM CST - 11:00AM CST (Optional)
Monday - 12:00PM CST- 4:45PM CST (Mandatory)
Tuesday - 8:30AM CST - 12:00PM CST (Mandatory)
Tuesday - 1:00PM CST - 4:10 PM CST (Optional)

2025 CONFERENCE VIRTUAL ATTENDEE TIMELINE

Monday - 12:00PM CST - 4:45PM CST (Mandatory)
Tuesday - 8:30AM CST- 12:00PM CST (Mandatory)
Tuesday - 1:00PM CST - 4:10PM CST (Optional)

This will be held on Zoom - please register BEFORE the conference using the link HERE. After registering, you will receive a confirmation email containing information about joining the Zoom with a calendar invite. You will be asked for your name and Harbour email/DBA email when you join. You will log in at the beginning of the day and use that logged in session for the full day - no need to sign out and back in for different sessions. *Remember you must be watching individually on your own computer this year, not as a group per FINRA regulations.*

HARBOUR INVESTMENTS CONFERENCE 2025

SEPTEMBER 15 & 16, 2025

DAFgiving 360 Webinar Series

charles SCHWAB

Year-end will be here before we know it and more donor-advised fund (DAF) accounts are opened and funded in Q4 than any other time of the year.

To help your firm get ahead of year-end giving, DAFgiving360™ is hosting a webinar series to prepare your operations and service teams before your clients' requests ramp up.

Opening a DAF account: Sept. 17, 1 p.m. PT / 4 p.m. ET.

Topics include different DAF account types, completing appropriate paperwork, and navigating Schwab Advisor Center®.

Contributing to a DAF: Sept. 24, 1 p.m. PT / 4 p.m. ET.

Topics include accepted asset types, contribution methods, and related policies.

Granting from a DAF: Oct. 7, 10 a.m. PT / 1 p.m. ET.

Topics include granting due diligence, processing information, and related guidelines.

REGISTER HERE

SAVE YOURSELF TIME

Friendly reminder that the following forms can be submitted with your account paperwork in ONE home office request:

- Harbour Liquidation
- Letter of Understanding
- Side by Side
- Advisory Disclosure

Not only does this save you time from having to make multiple submissions, it makes it easier for principals to review and approve paperwork faster too.

The only Harbour forms we need to have separated for home office requests are Asset Management Service Agreements (Advisory Agreements have their own Submission Type) or CIPs (which are entered as NAFs)

HARBOUR INVESTMENTS ANNUAL SURVEY
We again are conducting our Harbour Investments annual advisor survey, and your input is appreciated.
This annual fixture is critical to better serve you as our advisors in this ever-changing financial industry.
CLICK HERE TO START THE SURVEY
This survey will also be made available on the Harbour website and through a QR code at the annual conference as well. In an effort to increase responses before and during the conference, we will hold a prize drawing for those that complete the survey before the end of the conference.
Please ensure you only complete the survey once

Reminder
There will not be a Tuesday Advisor Call next week due to the conference.
Please join us on September 23rd for our Operations & Compliance themed call!

HAPPY
Birthday
September 9
Joseph Czukas - Brookfield, WI
September 12
Matt Niermeyer - Middleton, WI
September 14
Traci Martens - Hortonville, WI
Mark Santas - Beloit, WI
Colleen Wolbert - Delafield, WI

Happy Anniversary
September 9
Todd Carless - Kalamazoo, MI
September 12
Christopher Peck - Brookfield, WI
September 14
Patrick Mongin - Green Bay, WI
John Wheeler - Green Bay, WI