Harbour Traded Manager Platform Addendum

SECTION #1: ACCOUNT INFORMATION

Account Owner(s): _____

Account Type(s): _____

Account # ('s) _____ Initial Account Value(s)$_____

Platform Advisory Fee: _____% Platform Admin Fee: 0.15%

Billing Frequency: Monthly in Advance

Ticket Charges *(when applicable)* **will be paid by**: Client

Special Instructions: Yes ☐ No ☐
(*see special instruction page*)

SECTION #2 INVESTMENT MANAGEMENT SELECTION LIST (SEE PAGE 2 & 3)

SECTION #3 TRADING AUTHORIZATION

Account Owner(s) hereby grants Investment Advisor (Advisor) and Harbour Investments, Inc. (Harbour) complete, unlimited discretionary trading authorization and Advisor/Harbour as agent and attorney-in-fact with respect to the above account(s). Pursuant to such authorization, Advisor/Harbour may, in their sole discretion, trade in the securities provided by the allocations selected by advisor. The advisor shall also have the authority to sign on account owner(s) behalf to change allocation invested in.

This trading authorization is a continuing one and shall remain in full force and effect until terminated by Account Owner, Advisor or Harbour pursuant to the provisions of this Amendment. The termination of this authorization will constitute a termination of this amendment.

SECTION #4 ACKNOWLEDGEMENT

By completing the digital account opening process, the account owner acknowledges that this Harbour Traded Manager Platform Form shall act as an amendment to the Harbour Investments, Inc. Asset Management Services Agreement ("Agreement") and/or an attachment to the Third-Party Manager Program Service Agreement, and agrees to the terms herein. All the original terms and conditions in the Agreement will become effective again at the termination of this Amendment, if applicable. I/We authorize our Investment Advisor to sign this form on my/our behalf for any future changes to Investment Options for the above listed account(s).

PRINT: _____
ACCOUNT OWNER NAME

PRINT: _____
JOINT ACCOUNT OWNER NAME

SECTION #2: INVESTMENT OPTIONS:

American Funds Models
(Minimum $1,000) (Annual Rebalance)
___ Conservative Income Model (F2)
___ Conservative Income & Growth Strategy (F2)
___ Conservative Growth & Income Strategy (F2)
___ Moderate Growth & Income Strategy (F2)
___ Growth & Income Strategy (F2)
___ Moderate Growth Strategy (F2)
___ Growth Strategy (F2)
___ Global Growth Strategy (F2)
___ Retirement Income Conservative (F2)
___ Retirement Income Enhanced (F2)
___ Retirement Income Moderate (F2)

Capital Growth ETF Models
(Minimum $5,000) (Annual Rebalance)
___ Conservative Income Model
___ Conservative Income & Growth
___ Conservative Growth & Income Model
___ Moderate Growth & Income Model
___ Growth & Income Model
___ Moderate Growth Model
___ Growth Model
___ Global Growth Model

Blackrock Target Allocation Multi Manager ETF Models (Minimum $5,000) (Quarterly Rebalance)
___ 0% Equities/100% Fixed Income
___ 10% Equities/90% Fixed Income
___ 20% Equities/80% Fixed Income
___ 30% Equities/70% Fixed Income
___ 40% Equities/60% Fixed Income
___ 50% Equities/50% Fixed Income
___ 60% Equities/40% Fixed Income
___ 70% Equities/30% Fixed Income
___ 80% Equities/20% Fixed Income
___ 90% Equities/10% Fixed Income
___ 100% Equities/0% Fixed Income

First Trust Buffer ETF Models
(Minimum $5,000) (Quarterly Rebalance)
___ Vest All Equity Buffer Model
___ Vest Defensive Growth Buffer Model
___ Vest Laddered US Equity Buffer Model
___ Vest Laddered US Equity Deep Buffer Model
___ Vest Moderate Growth Buffer Model

First Trust Equity ETF Models
(Minimum $5,000) (Quarterly Rebalance)
___ All Equity Model
___ Defensive Equity
___ Domestic Equity
___ Equity Income Model
___ International Equity Model

First Trust Specialty ETF Models
(Minimum $5,000) (Quarterly Rebalance)
___ Alternatives Model
___ Enhanced Equity Income Model
___ Top Themes Model

First Trust Strategic Risk ETF Models
(Minimum $5,000) (Quarterly Rebalance)
___ Conservative Model
___ Conservative Growth Model
___ Balanced Growth Model
___ Moderate Growth Model
___ Aggressive Growth Model

Franklin Templeton Core Multi Manager ETF Models (Minimum $5,000) (Annual Rebalance)
___ ALL Fixed Income
___ 10% Equities/90% Fixed Income
___ 20% Equities/80% Fixed Income
___ 30% Equities/70% Fixed Income
___ 40% Equities/60% Fixed Income
___ 50% Equities/50% Fixed Income
___ 60% Equities/40% Fixed Income
___ 70% Equities/30% Fixed Income
___ 80% Equities/20% Fixed Income
___ 90% Equities/10% Fixed Income
___ All Equities

Franklin Templeton Income Models ETF
(Minimum $5,000) (Annual Rebalance)
___ Dynamic Income Conservative Model
___ Dynamic Income Moderate Model
___ Dynamic Income Tax Advantage Model
___ Dynamic Income Moderate Tax Advantage Model

Invesco Dynamic ETF Models
(Minimum $5,000) (Quarterly Rebalance)
___ 0-100 Portfolio
___ 10-90 Portfolio
___ 20-80 Portfolio
___ 30-70 Portfolio
___ 40-60 Portfolio
___ 50-50 Portfolio
___ 60-40 Portfolio
___ 70-30 Portfolio
___ 80-20 Portfolio
___ 90-10 Portfolio
___ 100-0 Portfolio
___ U.S. Factor Rotation Portfolio

Russell Investments Tax-Managed Models
($1,000 Minimum) (Annual Rebalance)
___ Conservative
___ Moderate
___ Moderate Growth
___ Balanced
___ Balanced Growth
___ Growth
___ Equity Growth

Single Fund Asset Allocation ETF Models
($0 Minimum) (Annual Rebalance)
___ Conservative Allocation 20/80 (Symbol AOK)
___ Moderate Allocation 40/60 (Symbol AOM)
___ Balanced Allocation 60/40 (Symbol AOR)
___ Aggressive Growth Allocation 80/20 (Symbol AOA)
___ S&P 500 Allocation (Symbol SPYM)
___ Custom Symbol _____

State Street Active Allocation ETF Models
($5,000 Minimum) (Monthly Rebalance)
___ Conservative
___ Moderate Conservative
___ Moderate
___ Moderate Growth
___ Growth
___ Maximum Growth

State Street Strategic Allocation ETF Models
($5,000 Minimum) (Annual Rebalance)
___ Conservative
___ Moderate Conservative
___ Moderate
___ Moderate Growth
___ Growth
___ Maximum Growth

State Street Tax-Sensitive Strategic ETF Models
($5,000 Minimum) (Annual Rebalance)
___ Conservative
___ Moderate Conservative
___ Moderate
___ Moderate Growth
___ Growth

Vanguard ETF Models
(Minimum $5,000) (Annual Rebalance)
Core _____ Strategic (Formerly CRSP) _____
Russell_____ S&P_____
___ 100% Fixed Income
___ 10% Equity / 90% Fixed Income
___ 20% Equity / 80% Fixed Income
___ 30% Equity / 70% Fixed Income
___ 40% Equity / 60% Fixed Income
___ 50% Equity / 50% Fixed Income
___ 60% Equity / 40% Fixed Income
___ 70% Equity / 30% Fixed Income
___ 80% Equity / 20% Fixed Income
___ 90% Equity / 10% Fixed Income
___ 100% Equity Portfolio

Vanguard Dynamic ETF Models
(Minimum $5,000) (Semi-Annual Rebalance)
___ Conservative
___ Moderately Conservative
___ Moderate
___ Moderately Aggressive
___ Aggressive

Vanguard Tax-Aware Series ETF Models
(Minimum $5,000) (Annual Rebalance)
___ Conservative
___ Moderately Conservative
___ Moderate
___ Moderately Aggressive
___ Aggressive

HTM Special Trading Instructions

(Example: Dollar cost averaging, excluding positions from model, special investment instructions etc.)