

## Harbour Traded Manager Platform Addendum

### SECTION #1: ACCOUNT INFORMATION

Account Owner(s): \_\_\_\_\_

Account Type(s): \_\_\_\_\_

Account # ('s) \_\_\_\_\_ Initial Account Value(s)\$ \_\_\_\_\_

Platform Advisory Fee: \_\_\_\_\_% Platform Admin Fee: 0.15%

**Billing Frequency:** Monthly in Advance

**Ticket Charges (when applicable) will be paid by:**

**Special Instructions:** Yes \_\_\_ No \_\_\_  
(see special instruction page)

### SECTION #2 INVESTMENT MANAGEMENT SELECTION LIST (SEE PAGE 2 & 3)

### SECTION #3 TRADING AUTHORIZATION

Account Owner(s) hereby grants Investment Advisor (Advisor) and Harbour Investments, Inc. (Harbour) complete, unlimited discretionary trading authorization and Advisor/Harbour as agent and attorney-in-fact with respect to the above account(s). Pursuant to such authorization, Advisor/Harbour may, in their sole discretion, trade in the securities provided by the allocations selected by advisor. The advisor shall also have the authority to sign on account owner(s) behalf to change allocation invested in.

This trading authorization is a continuing one and shall remain in full force and effect until terminated by Account Owner, Advisor or Harbour pursuant to the provisions of this Amendment. The termination of this authorization will constitute a termination of this amendment.

### SECTION #4 ACKNOWLEDGEMENT

By completing the digital account opening process, the account owner acknowledges that this Harbour Traded Manager Platform Form shall act as an amendment to the Harbour Investments, Inc. Asset Management Services Agreement ("Agreement") and/or an attachment to the Third-Party Manager Program Service Agreement, and agrees to the terms herein. All the original terms and conditions in the Agreement will become effective again at the termination of this Amendment, if applicable. I/We authorize our Investment Advisor to sign this form on my/our behalf for any future changes to Investment Options for the above listed account(s).

**PRINT:** \_\_\_\_\_  
ACCOUNT OWNER NAME

**PRINT:** \_\_\_\_\_  
JOINT ACCOUNT OWNER NAME

## SECTION #2: INVESTMENT OPTIONS:

### American Funds Models

#### **(Minimum \$5,000)**

- Conservative Income Model (F2)
- Conservative Income & Growth Strategy (F2)
- Conservative Growth & Income Strategy (F2)
- Moderate Growth & Income Strategy (F2)
- Growth & Income Strategy (F2)
- Moderate Growth Strategy (F2)
- Growth Strategy (F2)
- Global Growth Strategy (F2)

### Capital Growth ETF Models

#### **(Minimum \$25,000)**

- Conservative Income Model
- Conservative Income & Growth
- Conservative Growth & Income Model
- Moderate Growth & Income Model
- Growth & Income Model
- Moderate Growth Model
- Growth Model
- Global Growth Model

### Blackrock Target Allocation Multi Manager ETF Strategies (Minimum \$10,000)

- 0% Equities/100% Fixed Income
- 10% Equities/90% Fixed Income
- 20% Equities/80% Fixed Income
- 30% Equities/70% Fixed Income
- 40% Equities/60% Fixed Income
- 50% Equities/50% Fixed Income
- 60% Equities/40% Fixed Income
- 70% Equities/30% Fixed Income
- 80% Equities/20% Fixed Income
- 90% Equities/10% Fixed Income
- 100% Equities/0% Fixed Income

### Single Fund Asset Allocation ETF Portfolios (\$0 Minimum)

- Conservative Allocation
- Moderate Allocation
- Growth Allocation
- Aggressive Growth Allocation
- S&P 500 Allocation

### Russell Investments Tax-Managed Portfolios

#### **(\$25,000 Minimum)**

- Conservative
- Moderate
- Moderate Growth
- Balanced
- Balanced Growth
- Growth
- Equity Growth

### First Trust Buffer ETF Portfolios

#### **(Minimum \$25,000)**

- All Equity Buffer Model
- Defensive Growth Buffer Model
- Laddered Buffer Model
- Laddered Deep Buffer Model
- Moderate Growth Buffer Model

### First Trust Equity ETF Model Portfolios

#### **(\$25,000 Minimum)**

- All Equity Model
- Defensive Equity
- Domestic Equity
- Equity Income Model
- International Equity Model

### First Trust Specialty ETF Portfolios

#### **(\$25,000 Minimum)**

- Alternatives Model
- Enhanced Equity Income Model
- Top Themes Model

### First Trust Strategic Risk ETF Model Portfolios

#### **(\$25,000 Minimum)**

- Conservative Model
- Conservative Growth Model
- Balanced Growth Model
- Moderate Growth Model
- Aggressive Growth Model

**State Street Active Allocation ETF Portfolios**

**(\$15,000 Minimum)**

- Conservative
- Moderate Conservative
- Moderate
- Moderate Growth
- Growth
- Maximum Growth

**State Street Strategic Allocation ETF Portfolios**

**(\$5,000 Minimum)**

- Conservative
- Moderate Conservative
- Moderate
- Moderate Growth
- Growth
- Maximum Growth

**State Street Tax-Sensitive Strategic ETF Models**

**(\$5,000 Minimum)**

- Conservative
- Moderate Conservative
- Moderate
- Moderate Growth
- Growth

**Vanguard Core Series ETF Portfolios**

**(Minimum \$25,000)**

- 100% Fixed Income
- 10% Equity / 90% Fixed Income
- 20% Equity / 80% Fixed Income
- 30% Equity / 70% Fixed Income
- 40% Equity / 60% Fixed Income
- 50% Equity / 50% Fixed Income
- 60% Equity / 40% Fixed Income
- 70% Equity / 30% Fixed Income
- 80% Equity / 20% Fixed Income
- 90% Equity / 10% Fixed Income
- 100% Equity Portfolio

**Vanguard CRSP Series ETF Portfolios**

**(Minimum \$25,000)**

- 100% Fixed Income
- 10% Equity / 90% Fixed Income
- 20% Equity / 80% Fixed Income
- 30% Equity / 70% Fixed Income
- 40% Equity / 60% Fixed Income
- 50% Equity / 50% Fixed Income
- 60% Equity / 40% Fixed Income
- 70% Equity / 30% Fixed Income
- 80% Equity / 20% Fixed Income
- 90% Equity / 10% Fixed Income
- 100% Equity Portfolio

**Vanguard Russell Series ETF Portfolios**

**(Minimum \$10,000)**

- 100% Fixed Income
- 10% Equity / 90% Fixed Income
- 20% Equity / 80% Fixed Income
- 30% Equity / 70% Fixed Income
- 40% Equity / 60% Fixed Income
- 50% Equity / 50% Fixed Income
- 60% Equity / 40% Fixed Income
- 70% Equity / 30% Fixed Income
- 80% Equity / 20% Fixed Income
- 90% Equity / 10% Fixed Income
- 100% Equity Portfolio

**Vanguard S&P Series ETF Portfolios**

**(Minimum \$25,000)**

- 100% Fixed Income
- 10% Equity / 90% Fixed Income
- 20% Equity / 80% Fixed Income
- 30% Equity / 70% Fixed Income
- 40% Equity / 60% Fixed Income
- 50% Equity / 50% Fixed Income
- 60% Equity / 40% Fixed Income
- 70% Equity / 30% Fixed Income
- 80% Equity / 20% Fixed Income
- 90% Equity / 10% Fixed Income
- 100% Equity Portfolio

**Vanguard Tax-Aware Series ETF Portfolios**

**(Minimum \$25,000)**

- Conservative
- Moderately Conservative
- Moderate
- Moderately Aggressive
- Aggressive

## HTM Special Trading Instructions

(Example: Dollar cost averaging, excluding positions from model, special investment instructions etc.)