

WEEKLY COMMUNICATIONS

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January 13-19, 2025

We recommend you check out the "Training & Resources" section on Harbour's updated website!
The YouTube videos & PDFs found here will help you with all Atlas questions.
Ava recently updated the How to Locate Documents video to represent the most recent Atlas changes.

[Watch that video HERE.](#)



TRAINING & RESOURCES

charles
SCHWAB

Schwab Tax Form Production Schedule



[CLICK HERE](#) for Schwab's
Tax productions schedule!

COMPLIANCE CORNER



CIP REMINDER

The latest version of the Customer Investment Profile includes the issuer, the issue date and expiration date for the identification of the client.

Prior versions of this form will no longer be accepted. Please ensure your office is using the newest version.

Pershing®

Pershing is implementing a new "Item for Attention" on the Dashboard of NetX360. Here are the details of this important notification:

"In our ongoing quest to provide you with timely, concise, and actionable information, we are pleased to announce a new Items for Attention that identifies accounts impacted by incidents effective January 27th. This new Items for Attention will notify you when there is an incident impacting your clients.

Items for Attention – Client Incidents will contain all the relevant information related to the incident (e.g. a copy of the client communication, the list of impacted accounts and any other important relevant information). This will replace the current process of receiving an email from your Client Service Representative (or the Home Office) when there are incidents impacting your clients."

It is recommended that you setup an "alert" email with these notifications, so you are aware of them and in a timely manner.

If you need help setting up alerts, please contact Tony Smith at the Home Office with any questions.

NEW "Item for Attention-Client Incidents"

Happy Anniversary

January 17

Dan Byrens - Battle Creek, MI

January 18

Neal Rohrs - Charlotte, MI

January 19

Bob Sawicki - Madison, WI

ADVERTISING SUBMISSIONS REMINDER

Please remember to submit all advertising documents for approval through Atlas rather than Marketing Pro. Marketing Pro is no longer used for advertisement approvals as of the beginning of 2025.



Happy
Birthday

January 15

Joel Radakovitz - Machesney Park, IL

January 16

Jennifer Johnson - La Crosse, WI

January 18

Erik Zuberbuhler - Freeport, IL

January 19

Gerard Deprez - Green Bay, WI