

**Item 1- Cover Page**

*Brochure Supplement*

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January 2, 2025

**This Brochure Supplement provides information about James Ewing that supplements the Harbour Investments, Inc. Brochure. You should have received a copy of that Brochure. Please contact Harbour Investments, Inc. ("Harbour") at (608) 662-6100 and/or email [info@harbourinv.com](mailto:info@harbourinv.com) if you did not receive Harbour's Brochure or if you have any questions about the contents of this supplement.**

**Additional information about James Ewing is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2- Educational Background and Business Experience**

James was born in 1977. He received an Associates of Arts and Science degree from Madison Area Technical College in 2000 and a Bachelors of Science degree from University of Wisconsin – Madison in 2002.

James served as a Retirement Services Specialist, First Shift Team lead at BMO Harris Bank from 2002 through 2014; as a Customer Service Manager at Mennenga Tax & Financial from 2015 through present and a Tax & Financial Advisor at Mennenga Tax & Financial 2016 through present. James is currently a Registered Representative and Investment Advisor Representative with Harbour Investments, Inc.

He holds Series 7 and 66 security licenses.

## **Item 3- Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this item.

## **Item 4- Other Business Activities**

James Ewing is also a registered representative (“Rep”) of Harbour’s Broker/Dealer, which could result in a conflict of interest. As a Rep, there may be commissions involved in certain transactions which are paid to the Rep, along with, in certain instances the Rep will also receive ongoing 12b-1 fees. These remunerations may be in the form of dealer concessions, trail fees or any other compensation generated by mutual funds, variable annuities, or the purchase or sale of securities as outlined in the respective prospectuses. Additionally, if you choose to enlist advisory services on a product that offers a dealer concession, trail fee or any other compensation, there may be a management fee charged.

## **Item 5- Additional Compensation**

Harbour may provide gifts or trips as incentives for volume production which is not based on advisory services alone, but on all production including commissions for broker dealer products as well as non-securities related insurance.

## **Item 6 - Supervision**

Transactions and advice for your account are provided through Harbour. A registered principal at Harbour’s home office reviews and monitors account activity and advice. Harbour also conducts periodic audits of its advisory representatives. Harbour’s home office may be contacted at (608) 662-6100.