

Item 1- Cover Page

Brochure Supplement

Austin Seifert, CFP[®], RMA[®], CPWA[®]

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Investment Adviser

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January 2, 2025

This Brochure Supplement provides information about Austin Seifert that supplements the Harbour Investments, Inc. Brochure. You should have received a copy of that Brochure. Please contact Harbour Investments, Inc. (“Harbour”) at 608-662-6100 and/or email info@harbourinv.com if you did not receive Harbour’s Brochure or if you have any questions about the contents of this supplement.

Additional information about Austin is available on the SEC’s website at www.adviserinfo.sec.gov.

Item 2- Educational Background and Business Experience

Austin Seifert was born in 1994; He graduated from Colorado State University with a Health and Exercise Science/Pre-Med Degree. He started in the financial industry in 2019 and has most recently worked at Beacon Pointe Advisors, LLC. Austin Joined Thompson Wealth Management as a Wealth Advisor in 2024. Austin is currently an Investment Advisor Representative with Harbour Investments, Inc.

Austin also holds the following designation: CFP®, RMA®, CPWA®.

Certified Financial Planner (CFP®) requirements include: completing a CFP Board approved education program in personal financial planning, completing the CFP® Certification Exam, having at least three years of experience in the financial planning process, and adhering to the CFP Board's Standards of Professional Conduct. Requires 30 hours of continuing education every two years, including two hours of ethics CE. Designation is administered by the CFP Board. (<http://www.cfp.net/become-a-cfp-professional/cfp-certification-requirements>)

Certified Private Wealth Advisor (CPWA®) requirements include: To earn the CPWA® certification, you must first complete a 6-month pre-study period to prepare for the program. Next, you need to attend an executive education course designed to cover the necessary material. After completing these steps, you must pass the CPWA® certification exam. Following a successful exam, you will sign a licensing agreement and remit the initial certification fee. To maintain your certification, you are required to earn continuing education (CE) credits.

The prerequisites for the CPWA® program include holding a bachelor's degree or one of the following designations: CIMA®, RMA®, CFA®, CFP®, ChFC®, or CPA®. Additionally, you must pass a FINRA background check and have at least five years of experience in the financial field at the time of certification. Designation is administered by the Investments & Wealth Institute. (<https://investmentsandwealth.org/certifications/cpwa-certification>)

Retirement Management Advisor® (RMA®) requirements include: Financial services professionals must have three years of relevant experience or acceptable designations (CIMA®, CPWA®, CFP®, CFA®, ChFC, RIPC) and adhere to the Investments & Wealth Institute *Code of Professional Responsibility*. You must complete the RMA Certification Exam. Continuing education of 40 credits every two years. Designation is administered by the Investments & Wealth Institute. (<https://investmentsandwealth.org/certifications/rma-certification>)

Item 3- Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this item.

Item 4- Other Business Activities

Austin Seifert is also a registered representative (“Rep”) of Harbour’s Broker/Dealer, which could result in a conflict of interest. As a Rep, there may be commissions involved in certain transactions which are paid to the Rep. These remunerations may be in the form of dealer concessions, trail fees or any other compensation generated by mutual funds, variable annuities, or the purchase or sale of securities as outlined in the respective prospectuses. Additionally, if you choose to enlist advisory services on a product that offers a dealer concession, trail fee or any other compensation, there may be a management fee charged.

Item 5- Additional Compensation

Harbour may provide gifts or trips as incentives for volume production which is not based on advisory services alone, but on all production including commissions for broker dealer products as well as non-securities related insurance.

Item 6 - Supervision

Transactions and advice for your account are provided through Harbour. A registered principal at Harbour’s home office reviews and monitors account activity and advice. Harbour also conducts periodic audits of its advisory representatives. Harbour’s home office may be contacted at 608-662-6100.