



Non- Discretionary

PERSHING TRADE TICKET

NEW

7 H B \_\_\_\_\_ Pershing Rep #

EXISTING CASH MARGIN

BUY SELL

ORDER # \_\_\_\_\_ CXL DAY AON GTC DNR

|                     |
|---------------------|
| TIME (From Client)  |
| TIME (To Harbour)   |
| TRADER              |
| LIMIT/ STOP/ MARKET |

|                 |        |
|-----------------|--------|
| QUANTITY        | SYMBOL |
| STOCK FULL NAME |        |

OPTIONS:

CERTIFICATE LOCATION:

OPEN CLOSE COVERED WITH \_\_\_\_\_ ACCOUNT CLIENT TRANSFER

TRADE DATE SETTLEMENT DATE [ ] SOLICITED COMMISSION  
 \_\_\_\_\_ [ ] UNSOLICITED \$ \_\_\_\_\_

|                          |                |                  |                 |
|--------------------------|----------------|------------------|-----------------|
| ORDER RECEIVED FROM      | PLACED BY/DATE | APPROVED BY/DATE |                 |
| CLIENT NAME              |                |                  |                 |
| ADDRESS                  |                |                  |                 |
| SOCIAL SECURITY/TAX ID # |                |                  |                 |
| BUY                      | SELL           | SYMBOL           | EXECUTION PRICE |
| REPORTED BY              | DATE/TIME      | REPORTED TO:     |                 |

**\*\*Not to be used for Harbour mutual fund trades.\*\***