



Non- Discretionary

PERSHING TRADE TICKET

NEW

7 H B \_\_\_\_\_ Pershing Rep # \_\_\_\_\_

EXISTING CASH MARGIN

BUY SELL

ORDER # \_\_\_\_\_ CXL DAY AON GTC DNR

TIME (From Client)
TIME (To Harbour)
TRADER
LIMIT/ STOP/ MARKET

QUANTITY	SYMBOL
STOCK FULL NAME	

OPTIONS:

CERTIFICATE LOCATION:

OPEN CLOSE COVERED WITH \_\_\_\_\_ ACCOUNT CLIENT TRANSFER

TRADE DATE SETTLEMENT DATE [ ] SOLICITED COMMISSION  
 \_\_\_\_\_ [ ] UNSOLICITED \$ \_\_\_\_\_

ORDER RECEIVED FROM	PLACED BY/DATE	APPROVED BY/DATE
CLIENT NAME		
ADDRESS		
SOCIAL SECURITY/TAX ID #		
BUY SELL	SYMBOL	EXECUTION PRICE
REPORTED BY	DATE/TIME	REPORTED TO:

\*\*Not to be used for Harbour mutual fund trades.\*\*