HARBOUR INVESTMENTS, INC.
Registered Investment Advisor
& Independent Broker Dealer

TELEPHONE TRANSFER FORM

Please use one form per client

ACCOUNT TITLE: ______________________________

TYPE OF ACCOUNT: **INDIVIDUAL___ IRA___ ROTH IRA___ JTWROS___**
TRUST___ UTMA/UGMA___ 401K___ OTHER___

LETTER OF UNDERSTANDING: ***ENCLOSED*** ***ON FILE***

DATE: ______________ *TIME:* ______________

DOLLAR AMOUNT: $______________ ***DONE AT N.A.V.?*** ***Y*** ***N*** *LOI $* ______

FROM: FUND: ______________ *CLASS* ____ *ACCT. #* ______________

TO: FUND: ______________ *CLASS* ____ *ACCT. #:* ______________

CONFIRM # ______________ *TAKEN BY:* ______________

DATE: ______________ *TIME:* ______________

DOLLAR AMOUNT: $______________ ***DONE AT N.A.V.?*** ***Y*** ***N*** *LOI $* ______

FROM: FUND: ______________ *CLASS* ____ *ACCT. #:* ______________

TO: FUND: ______________ *CLASS* ____ *ACCT. #:* ______________

CONFIRM # ______________ *TAKEN BY:* ______________

DATE ______________ *TIME:* ______________

DOLLAR AMOUNT: $______________ ***DONE AT N.A.V.?*** ***Y*** ***N*** *LOI* ______

FROM: FUND: ______________ *CLASS* ____ *ACCT. #:* ______________

TO: FUND: ______________ *CLASS* ____ *ACCT. #:* ______________

CONFIRM # ______________ *TAKEN BY:* ______________

Financial Professional Name: ______________ ***NO.*** ______

Please forward this form to Harbour after placing the transfer. **INFORMED CONSENT OF REG BI:** The client hereby makes the following representations about informed consent of the receipt of certain information from Harbour: I (we) acknowledge receipt of Harbour's Form CRS and understand its contents. I (we) agree to receive, and have received, delivery of Harbour's Best Interest Disclosure by visiting https://harbourinv.com/clients/regulation-bi/.