



## Harbour Investments, Inc. Fee Schedule (For Internal Use Only)

### Equities

Domestic Stock and ETFs:	\$0
OTC Stock and ETFs:	\$6.95

### Options

Electronic Channel:	\$0.65 per contract
Broker assisted:	\$25.00 + \$0.65 per contract

### Mutual Funds

Transaction Fee Funds:	\$15
No-Transaction Fee Funds:	\$0

**Outgoing Transfer Fee:** \$50 for Full outgoing transfer fee

**Alternative Investments:** \$150 per position/\$300 max annually per account if position not on OneSource platform  
\$0 for OneSource alternatives

**Asset Based Pricing:** 15 bps; \$15 per month minimum

### Fixed Income

#### **Electronic Channels:**

Treasuries:	\$0
Gov Agencies:	\$0.20 / bond (\$10 min / \$250 max)
New Issue (including CDs)	\$0
Secondary Market - Corporate, Muni's, Treasury, STRIPS & CDs:	\$1 / bond (\$10 min / \$250 max)

Prime Broker / Trade Away: \$25 / transaction

\*\*\*Additional fees may apply for broker assisted trades called into Schwab's trade desk\*\*\*