



AN AFFILIATE OF THE BANK OF NEW YORK MELLON

Harbour Investments, Inc. Fee Schedule

Approved for Client Use

Clearing Charges*

Stocks, Bonds, Options Contact your Representative

Mutual Funds*

Load/No-Load Purchase	\$13-18
Load/No-Load Redemption	\$13-18
NAV Purchases	\$13-18
Fund-Vest Purchase/Redemption	\$0**
No-load MF Non-Fund/SERV	\$20
Load MF Non-Fund/SERV	\$40
Mutual Fund Exchanges	\$8
Mutual Fund DCA	\$2.50 (Buys or Sells)

Retirement Plans Annual Fee*

Traditional, Roth, and SEP IRA	\$43.50
Mutual Fund Only Traditional/Roth IRA	\$12
Other Qualified Plans not listed	\$58.50-\$125.00
Termination Fee on all IRA's	\$95

*These charges represent the standard cost of the transaction.
Harbour reserves the right to pass through additional charges.

** FundVest charge for redemption prior to holding 6 months - \$52.00

Short Stock Interest/Debits will be passed on to the client account.

Customer Charges*

Paper Statements/Confirmations/Notifications or Proxy/Shareholder Comm.	\$2/month
Paper Tax Documents	\$10/year
Exchanges and Trade Confirm Fee	\$3.55/trade
Overnight Check	\$12/Sat. Delivery \$18
Margin Extension	\$10
Returned checks/ACH	\$20
Stop Payment on Pershing Issued Check	\$10

Fed Wire Funds	\$20
Inactive Account Fee (Mixed Account/Mutual Funds Only)	\$25 / \$12.50
Dividend Reinvestment Fee	\$1
Register & Ship Cert Fee/Cert Deposit (passed on from the Transfer Agent)	Varies by Transfer Agent
Legal & GNMA Transfer	\$60
Voluntary Reorg Charges	\$20
Mandatory Reorg Charges	\$5 in account \$20 physical
Customer Name Safekeeping	\$2/month/position
Domestic or Foreign Security Safekeeping	\$2/month/position
Foreign Security Clearing	\$50-\$75
Private Placement/ LPs	\$35/item/year
Subscription/Redemption Fee	\$50/item
Account Transfer Out (Add \$12 overnight fee for checks over \$250k)	\$65
Direct Registration of Mutual Funds	\$10/fund
DRS in from Transfer Agent (fee passed on from the Agent)	\$15 min.
Cancel/Rebill	\$20 min.
Busted Trade	\$10 min.
Historical Statement & Confirm Copy Charges are Passed on from Pershing	\$25 min.
Forced Monthly Statements	\$1/month/account

Corestone Annual Fees***

Silver	\$25***
Silver Plus	\$50***
Gold	\$100***
Platinum	\$150***
Corporate Gold	\$150***
Corporate Platinum	\$250***

***Refer to ProCash Fee Schedule for a list of all fees associated with Corestone accounts