World-Class Content and Services

Access industry-leading third-party content providers directly through NetX360™. Leverage the power of these offerings to help boost performance and efficiency. Our goal is to provide you with full data integration between Pershing Advisor Solutions and multiple third-party providers in all major software categories. As a partner in your success, Pershing Advisor Solutions provides a choice of two complimentary premium packages of content and services. Should you choose to add to your level of service, à la carte offerings are also available for a monthly fee.

Pershing Advisor Solutions Complimentary Market Data Packages

Complimentary Portfolio Management and Trading Package	
Snap Quotes	Obtain real-time stock, option and mutual fund quotes. Snapshots of up to 20 symbols can be created, tracked and compared. A symbol look-up is included in this service.
Charts – Intraday and Historical	Create impressive charts on over 10,000 stocks and mutual funds, which can be compared to other securities or indices.
Economic Overview	Powered by Interactive Data Corporation, the Economic Overview offers critically important macroeconomic indicators, such as the Gross Domestic Product Price Index, consumer spending, unemployment and treasury rates, in addition to a full economic calendar and economic highlights.
Historical Pricing	View historical prices for individual equities and indices for up to 10 years or more (depending on availability) from a single source, eliminating the need for a third-party solution.
Zacks Investment Research	Zacks provides fundamental equity research with access to earnings estimates, analyst ratings, earnings-per-share (EPS), revisions insider transactions and five calendar years' worth of financial statement data.
Thomson Reuters® News and Commentary	Keep current with real-time market headlines from Thomson Reuters and retrieve the latest news from PR Newswire® and Business Wire®, selecting headlines and full stories by category or by symbol.
Security Digest Investment Portal	Access to the base offering includes delayed quotes, mini charts, news, company and mutual fund profiles, Securities and Exchange Commission (SEC) filings and much more.
Standard & Poor's® (S&P®) Stock Reports	Access in-depth facts, figures and projections for thousands of companies. You will receive key statistics to give you a definitive picture of a stock's overall value plus Wall Street consensus opinion, industry analysis and news.
StockScan®	Access StockScan, a research tool that works with S&P Stock Reports to identify equities matching specific, user-defined criteria.

Complimentary Operations and Service Package	
Charts – Intraday and Historical	Create impressive charts on over 10,000 stocks and mutual funds, which can be compared to other securities or indices.
Delayed Quotes	Gain unlimited access to delayed stock, mutual fund, option and index quotes from all major U.S. exchanges on a 20-minute delayed basis. A symbol look-up is included in this service.
Economic Overview	Powered by Interactive Data Corporation, the Economic Overview offers critically important macroeconomic indicators, such as the Gross Domestic Product Price Index, consumer spending, unemployment and treasury rates, in addition to a full economic calendar and economic highlights.
Historical Pricing	View historical prices for individual equities and indices for up to 10 years or more (depending on availability) from a single source, eliminating the need for a third-party solution.
Zacks Investment Research	Zacks provides fundamental equity research with access to earnings estimates, analyst ratings, earnings-per-share (EPS) revisions, insider transactions and five calendar years' worth of financial statement data.
Thomson Reuters® News and Commentary	Keep current with real-time market headlines from Thomson Reuters and retrieve the latest news from PR Newswire® and Business Wire®, selecting headlines and full stories by category or by symbol.
Security Digest Investment Portal	Access to the base offering includes delayed quotes, mini-charts, news, company and mutual fund profiles, Securities and Exchange Commission (SEC) filings and much more.
Standard & Poor's® (S&P®) Stock Reports	Access in-depth facts, figures and projections for thousands of companies. You will receive key statistics to give you a definitive picture of a stock's overall value plus Wall Street consensus opinion, industry analysis and news.
StockScan®	Access StockScan, a research tool that works with S&P Stock Reports to identify equities matching specific user-defined criteria.

À la Carte Research Options

Research Options		Monthly Pricing Per User
Argus® Research	Access independent equity research on over 700 domestic companies derived from the Argus six-point stock analysis system incorporating industry analysis, growth analysis, financial strength analysis, management assessment, risk analysis, and valuation analysis.	
	A level one package includes analyst reports, Market Watch, Weekly Staff Report and Vickers Weekly Insider Report.	Level 1 – $20
	A level two package includes analyst reports, Morning Call, Weekly "Best of" Report, Vickers Insider Action Watch List and Model Portfolios.	Level 2 – $40
BNY® Jaywalk Consensus	BNY Mellon's independent equity research consultancy has a global network of over 150 independent research providers (IRPs), each providing research free from investment banking conflict. NetX360™ will offer the BNY Jaywalk Consensus recommendations, which are the average of all current recommendations by IRPs on a given security. The service includes current Jaywalk consensus, number of IRPs, consensus 90 days ago and the overall breakout of very positive, positive, neutral, negative and very negative ratings. Research is available on a universe of over 4,900 equities.	
	Metrics: The service includes current Jaywalk consensus, number of IRPs, consensus 90 days ago, and the overall breakout of very positive, positive, neutral, negative and very negative ratings. Research is available on a universe of over 4,900 equities.	$10
	Complete: The service includes current Jaywalk consensus, number of IRPs, consensus 90 days ago, and the overall breakout of very positive, positive, neutral, negative and very negative ratings. It also includes the generation of PDF files. Research is available on a universe of over 4,900 equities.	$15
Credit Suisse® Research	Credit Suisse Research covers 98% of the S&P 500®. Each research report is indexed by title, time of posting, analyst, securities covered, and number of pages. The reports are available to view or download in PDF format. Following are some of the reports available: Intraday Research, Research Notes, Credit Suisse Tech Daily, First Edition – U.S. Alert, Morning Summary Notes, and Portfolio Manager's Weekly.	$75

Research Options (continued)		Monthly Pricing Per User
S&P® MarketScope® Advisor	MarketScope is an online, real-time investment information service providing daily information on over 9,000 corporations. It includes timely analysis of stocks and industry developments, exclusive recommendations that meet specific investment and portfolio criteria, penetrating comments on current stock, bond and currency market activity, and Wall Street consensus earnings estimates for approximately 6,000 companies.	$50
The Rankings Service™	Select mutual funds that are aligned with your clients' investment objectives using information from The Rankings Service. This unique service focuses on the career performance of mutual fund managers rather than the more traditional method of tracking a specific fund's performance. Gain access to a variety of rankings such as the 100 overall top-performing fund managers and the 10 top-performing managers in specific asset categories.	$30
Morningstar® Fund Analytics	Morningstar Fund Analytics offers comprehensive statistical and analytical information on more than 22,000 open mutual funds and 650 closed-end exchange-traded funds from top analysts at Morningstar. Detailed investment reports, interviews and profiles of fund managers, news, tips from the top newsletters and a tool to create hypothetical professional investment illustrations are just a few of the available features.	$20
Market Edge®	Market Edge provides unbiased, quantitative equity research on nearly 5,000 companies	
	The Second Opinion℠ report provides comprehensive price, volume and technical analysis metrics, as well as a proprietary "Power Rating," which indicates a company's technical condition.	$10
	The Full Service report provides daily market commentary, screening tools, short-term trading ideas and Smart Chart—a powerful interactive charting package containing bar, point and figure, line, and candlestick charting capabilities.	$20

À la Carte Market Data and News Options

News Options		Monthly Pricing Per User
Dow Jones® Advisor Pro	Get easy access to news uniquely tailored for financial advisors. Dow Jones Advisor Pro features real-time updates from the North American equity markets and delivers in-depth coverage on tax legislation, estate planning and personal finance. In addition, this service draws on the resources of Dow Jones and offers news from the *Wall Street Journal*®, *Barron's*®, *SmartMoney*®, *WSJ.com*® and *Securities Industry News*®.	$30
Dow Jones News Service®	This is the premier professional-level service that makes all of the Dow Jones broadtape stories available in real time. This service provides over 3,000 items. Users can search for stories by ticker symbol or by topic.	$100
Unlimited Streaming Quotes with Market-Q	This package includes streaming quotes that dynamically update with unlimited real-time current market price for equities. There is no need to refresh your screen to get the latest data. View streaming quotes for the market's most active movers, the winners and losers on a percentage or dollar basis, or set up a QuoteTrack™, and monitor more than 40 stocks at a time on up to 40 separate QuoteTracks. This flexible, streaming market data application powered by Interactive Data Corporation, offers a broad array of high-quality financial information and content, such as real-time pricing, scrolling news, key corporate actions, dividend data, alerts and advanced charting capabilities.	$275 - 1st user $175 - each additional user
NASDAQ® Level II Quotes	NASDAQ® Level II Quotes provide an overview of market depth by displaying all bids and offers from market makers and electronic communication networks for NASDAQ securities.	$50
Time and Sales	Request time, sales and time and quote information for equities including tick-by-tick last sale and bid-and-ask data across exchanges for up to 20 days.	$25

À la Carte Optional Tools

NaviPlan®		Monthly Pricing Per User
NaviPlan® Standard – Financial Assessment	Use the Financial Assessment to gain deeper insights into a client's or prospect's current financial situation and priorities. It provides the user the ability to quickly complete a needs analysis that identifies the client's current financial priorities and clearly illustrates what is required to meet goals.	$44
NaviPlan Standard – Level 1 with Lockwood Models and Methodology	Build personalized financial plans to meet you clients' investment goals, such as saving for college tuition, planning for retirement, making major purchases and estate planning. This package includes asset allocation, multiple "what if" scenarios, simple insurance analysis and client reporting. Includes access to the Financial Assessment level. Uses asset allocation models, risk tolerance scoring and asset class mapping from Pershing's Managed Account partner Lockwood Financial Services, Inc.	$57
NaviPlan Standard – Level 1 with Ibbotson Models and Methodology	This package includes asset allocation models, risk tolerance scoring and asset class mapping from Ibbotson Associates.	$67
NaviPlan Standard – Full with Lockwood Models and Methodology	This package provides an increased level of detail including Monte Carlo simulation, detailed asset allocation, estate planning, cash flow and net worth analysis, detailed insurance analysis and increased client-reporting options. It includes access to financial assessment, Level 1, Level 2 and Level 3 planning. It uses asset allocation models, risk tolerance scoring and asset class mapping from Pershing's Managed Account partner Lockwood Financial Services, Inc	$66
NaviPlan Standard – Full with Ibbotson Models and Methodology	This package uses asset allocation models, risk tolerance scoring and asset class mapping from Ibbotson Associates.	$77
NaviPlan Extended with Lockwood Models and Methodology	This service enables investment professionals to build advanced financial plans for their high- and ultra-high-net-worth clients. NaviPlan Extended is renowned in the industry for enabling detailed cash flow-based planning and comprehensive analysis of incomes, expenses, assets and liabilities, stock options, federal and state taxes, and lifetime estate planning strategies. It uses asset allocation models, risk tolerance scoring and asset class mapping from Lockwood Financial Services, Inc.	$97
NaviPlan Extended with Ibbotson Models and Methodology	This package includes asset allocation models, risk tolerance scoring and asset class mapping from Ibbotson Associates.	$108

Morningstar Advisor Workstation℠ Enterprise Edition		Monthly Pricing Per User
Morningstar Advisor Workstation Enterprise Edition	Diagnose and resolve diversification issues, search and present security research, create hypothetical illustrations and help plan for your clients' futures. This premier offering gives you research, portfolio tools and planning functionalities for a wide range of security types including open-end funds, closed-end funds, exchange-traded funds, stocks, variable annuity/life products and 529 plans. Real-time Pershing holdings integration is included.	
	Configuration 1: This configuration of Morningstar's Advisor Workstation includes the sales, clients and portfolios and research modules.	$192
	Configuration 2: This configuration of Morningstar's Advisor Workstation includes the sales, clients and portfolios, research and planning (Goal Planner) modules.	$208
Separate Accounts Universe	This option is available to either Morningstar Advisor Workstation configuration and includes access to research on over 2,500 separate accounts.	$30+ Fee for Configuration 1 or 2
Full Equity Research Option	This option is available to either Morningstar Advisor Workstation configuration and includes full equity research opinion reports on more than 1,000 equities. This functionality is in addition to the investment detail report available on over 6,500 equities as part of both configurations.	$42.50+ Fee Configuration 1 or 2

Consortium Partners		Monthly Pricing Per User
Black Diamond Performance Reporting™	Black Diamond provides daily, fully outsourced investment performance reporting via an industry-leading Internet-based application. Access daily returns, flexible reporting levels, ad hoc and batch client report creation, composite reporting and maintenance, cost-basis tracking and tax-lot entry, an easy-to-use customizable home page, outside asset reporting, target reporting, benchmark tracking and drill-down reporting. With Black Diamond Performance Reporting, you will be able to attract new assets, grow existing accounts and increase productivity.	The price for this content is negotiated per advisor relationship. Contact your Business Development Officer or Relationship Manager for more details.
MoneyGuidePro™	Take an exciting new approach to financial planning by replacing the unnecessary complexity of traditional, detailed cash-flow planning software with a goal-oriented process that is faster, easier and more engaging for the client. With MoneyGuidePro, you can create a retirement lifestyle plan to show clients how to maximize the satisfaction and enjoyment they will obtain from their money during their lifetime. It is particularly effective in helping restore client confidence during down markets.	The price for this content is negotiated per advisor relationship. Contact your Business Development Officer or Relationship Manager for more details.
Redtail Client Relationship Management (CRM)	Redtail CRM is a web-based, easy-to-use client management solution for registered investment advisors. Once enrolled, you and everyone in your office can access the same client notes, documents, schedules and account data. Redtail is a leading CRM solution that provides anywhere, anytime access, and includes features such as multi-user calendaring, document management, family householding, "Know Your Customer" information, reporting, workflow management and more. Redtail has seamlessly integrated client management, sales automation, client support and marketing automation tools that empower you to be successful.	The price for this content is negotiated per advisor relationship. Contact your Business Development Officer or Relationship Manager for more details.