

ManagedConnect Account

PERSHING ADVISOR SOLUTIONS LLC

This form is required to establish a ManagedConnect (Dual Contract) Account and/or other advisory account or a group of accounts (referred to as a Household) under the same account registration. Once completed, upload this form directly into NetX360® under the new account number.

STEP 1. ACCOUNT INFORMATION

MANAGED ACCOUNTS are accounts managed by a money manager chosen by Client and Client's Investment Advisor.

If Client is opening an additional identically registered account, you may use this form to open the new account(s). All account features will be replicated unless noted below. Note: standing and/or periodic asset movement instructions will not carry over to the replicated account(s). Enter the existing account number and account registration to be replicated.

Existing Account Number	Account Registration
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If you wish to add a Trusted Contact to the replicated account, please complete a Trusted Contact Form.

HOUSEHOLD ACCOUNTS are accounts which are part of the same client relationship that may be linked for performance reporting and/or billing purposes if the Investment Advisor is using these services. Please complete the appropriate sections in the table below.

Client may add new accounts to an existing household or create a new household for a new account(s).

Are new accounts being added to an existing household or a new household? <input type="checkbox"/> Existing <input type="checkbox"/> New
Household Name (add existing household name or new, whichever applies)

Please complete the table below for the account number(s) to be opened

ACCOUNT NUMBER	NAME OF MANAGER	NAME OF STYLE	FUNDING AMOUNT	COMBINED MARGIN	INVESTMENT PROFESSIONAL NUMBER
				<input type="checkbox"/>	
				<input type="checkbox"/>	
				<input type="checkbox"/>	
				<input type="checkbox"/>	
				<input type="checkbox"/>	

Account Description - Optional (will appear on statements, maximum of 36 characters)

Account Number	Account Description
Account Number	Account Description
Account Number	Account Description
Account Number	Account Description
Account Number	Account Description



STEP 2. CLIENT'S INFORMATION AND ADDITIONAL INSTRUCTIONS

Pershing Advisor Solutions will furnish the information in the table below and other important account information to selected money managers in order to service Client's Account. Client understands that Client is responsible for ensuring that the financial or other information supplied to Pershing Advisor Solutions and/or the Investment Advisor is current and accurately reflects Client's specific situation. Pershing Advisor Solutions will not make any representation or warranty as to the accuracy or completeness of the information Client provided. Pershing Advisor Solutions is not and shall not have any fiduciary responsibility for, among other matters, suitability of any investment program for Client or for any individual investments. **All information is mandatory.**

Time Horizon	Tax Bracket	Annual Household Income	Net Worth
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Proxies and Corporate Mailings (select one option only)

- Delegate Proxy Voting Authority** (This selection is standard for Non-ERISA Accounts.) Client hereby delegates all proxy rights to the applicable money manager(s) and designate each applicable manager to receive all proxies and any and all related materials and to vote the same.
- Withhold Proxy Voting Authority** (This selection is standard for ERISA Accounts.) Client hereby withholds delegation of all proxy rights. Client will instead receive and vote all proxies itself.

Account Restrictions

Do Not Purchase

Use this section to list any industry restrictions or CUSIP/Ticker specific restrictions. Reasonable restrictions will be considered. Restrictions are not guaranteed, however, and managers may refuse any restriction the manager deems may interfere with the manager's investment discipline. In general, managers may reject accounts with more than five restrictions (either by specific industry code or by security symbol) as presumptively unreasonable. For pooled investment vehicles, such as mutual funds or ETFs, because trading oversight is at the fund level and not at the underlying investment level, restrictions cannot be applied to the underlying investment level.

Industry Restriction Key

CODE	INDUSTRY RESTRICTION	CODE	INDUSTRY RESTRICTION	CODE	INDUSTRY RESTRICTION
A	No Alcohol	H	No Finance	Q	No Textiles
B	No Contraceptive Products	J	No Trucking	R	No Drugs
C	No Cosmetic	K	No Firearms	T	No Tobacco
D	No Defense/Military/Weapons	M	No Meat Products	U	No Public Utilities
E	No Entertainment	N	No Nuclear Power	V	No Paper/Forest Products
F	No Foreign Securities	O	No Oil Stocks	W	No Healthcare/Medical Industries
G	No Gambling				

Enter specific ticker symbol(s) or industry restriction code (see list of available Industry Restrictions above)

CUSIP/Ticker of Specific Securities and/or Industry Restriction

Do restrictions apply to all accounts?

Yes No If not, enter the specific account numbers to which the restrictions apply

STEP 3. ONLINE ACCESS AND ELECTRONIC DELIVERY

If you have an existing User ID, please provide it here. The new accounts will be linked to this ID.

If you have not been provided a User ID, all documents for new accounts will default to paper delivery. Fees may be associated with paper documents. Please speak with your Investment Advisor if you currently receive these documents via paper and would like to be enrolled for eDelivery.

STEP 4. BENEFICIAL OWNERS (REQUIRED FOR APPLICABLE ENTITY ACCOUNTS ONLY)

To help the government fight the funding of terrorism and money laundering activities, federal laws require that all financial institutions obtain, verify, and record information that identifies beneficial owners and/or control persons for legal entities that open an account.

Choose one option only

- The Beneficial Owner Information or Know Your Customer - Entity form has been provided for the existing account referenced above and the information is still valid.
- Beneficial owner and/or control person information has changed for the existing account referenced above and a new Know Your Customer - Entity form will be submitted with this form.
- The Beneficial Owner Information or Know Your Customer - Entity form has not been provided for the existing account referenced above, therefore, a new Know Your Customer - Entity will be submitted with this form.
- Beneficial owner and/or control person information has not been provided for the existing account referenced above due to an existing exclusion. A Beneficial Owner Information Exclusions Form is on file or will be submitted with this form.

STEP 5. SIGNATURE AND ARBITRATION DISCLOSURES

OMISSION OF ANY OF THE INFORMATION REQUESTED IN THIS MANAGEDCONNECT ACCOUNT FORM MAY BE GROUNDS FOR DENIAL OR CAN DELAY THE APPROVAL OF YOUR ACCOUNT(S).

You acknowledge that this ManagedConnect Account form shall act as an amendment to the Account Application and Agreement ("Agreement") and Beneficial Owner Information/Know Your Customer Profile - Entity form (if applicable) you also signed, and you agree to the changes made in this form. All terms and conditions in the Agreement, including the trading authorization granted therein, Pershing's Margin Agreement, if applicable to the replicate accounts, and the Arbitration Disclosures, shall apply to this account.

YOU ACKNOWLEDGE RECEIVING A COPY OF THE AGREEMENT.

If Investment Advisor signs this form on behalf of Account Holder(s), Investment Advisor further represents that the Account Holder(s) has authorized it to sign on Account Holder's behalf. Electronic signatures have the same legal effect, validity or enforceability as a manually executed signature or physical delivery thereof, to the extent and as provided for in any applicable law.

Account Holder/Trustee/Corporate Officer

Print Name	Date
Signature X	

Joint Account Holder/Trustee (if applicable)

Print Name	Date
Signature X	

Investment Advisor on Behalf of Account Holder(s)

Print Name	Date
Signature X	