

Replicate an Account

PERSHING ADVISOR SOLUTIONS LLC

Complete this form to open additional identically registered accounts. All account features will be replicated unless noted below. Note: standing and/or periodic asset movement instructions will not carry over to the replicated account(s). Once completed, upload this form directly into NetX360® under the new account number.

STEP 1. ACCOUNT INFORMATION

Existing Account Number	Account Registration
-------------------------	----------------------

If you wish to add a Trusted Contact to the replicated account, please complete a Trusted Contact Form.

ACCOUNT NUMBER	TAX LOT DISTRIBUTION METHOD*	ADVISOR SECTION ONLY				
		FEE SCHEDULE	PROGRAM NAME IF ASSET BASED	MANAGER NAME/ STYLE OR ACCOUNT MNEMONIC	COMBINED MARGIN	INVESTMENT PROFESSIONAL NUMBER
		<input type="checkbox"/> Transaction <input type="checkbox"/> Asset Based			<input type="checkbox"/>	
		<input type="checkbox"/> Transaction <input type="checkbox"/> Asset Based			<input type="checkbox"/>	
		<input type="checkbox"/> Transaction <input type="checkbox"/> Asset Based			<input type="checkbox"/>	
		<input type="checkbox"/> Transaction <input type="checkbox"/> Asset Based			<input type="checkbox"/>	
		<input type="checkbox"/> Transaction <input type="checkbox"/> Asset Based			<input type="checkbox"/>	
		<input type="checkbox"/> Transaction <input type="checkbox"/> Asset Based			<input type="checkbox"/>	

Account Description - Optional (will appear on statements, maximum of 36 characters)

Account Number	Account Description
Account Number	Account Description
Account Number	Account Description
Account Number	Account Description
Account Number	Account Description
Account Number	Account Description

* If an alternative distribution method is required please choose from the following:

Tax Lot Disposition Methods for mutual funds and stocks in Pershing's Dividend Reinvestment Plans are Average Cost using First In First Out ("FIFO"), High Cost, High Cost Long-Term, High Cost Short-Term, Last In First Out ("LIFO"), Low Cost, Low Cost Long-Term, Low Cost Short-Term, and Minimize Short-Term Gains.

Tax Lot Disposition Methods for all other securities are FIFO, High Cost, High Cost Long-Term, High Cost Short-Term, LIFO, Low Cost, Low Cost Long-Term, Low Cost-Short Term, and Minimize Short-Term Gains.



STEP 2. ONLINE ACCESS AND ELECTRONIC DELIVERY

If you have an existing User ID, please provide it here. The new accounts will be linked to this ID.

If you have not been provided a User ID, all documents for new accounts will default to paper delivery. Fees may be associated with paper documents. Please speak with your Investment Advisor if you currently receive these documents via paper and would like to be enrolled for eDelivery.

STEP 3. BENEFICIAL OWNERS (REQUIRED FOR ENTITY ACCOUNTS ONLY)

To help the government fight the funding of terrorism and money laundering activities, federal laws require that all financial institutions obtain, verify, and record information that identifies beneficial owners and/or control persons for legal entities that open an account.

Choose one option only:

- The Beneficial Owner Information or Know Your Customer - Entity form has been provided for the existing account referenced above and the information is still valid.
- Beneficial owner and/or control person information has changed for the existing account referenced above and a new Know Your Customer - Entity form will be submitted with this form.
- The Beneficial Owner Information or Know Your Customer - Entity form has not been provided for the existing account referenced above, therefore, a new Know Your Customer - Entity will be submitted with this form.
- Beneficial owner and/or control person information has not been provided for the existing account referenced above due to an existing exclusion. A Beneficial Owner Information Exclusions Form is on file or will be submitted with this form.

STEP 4. SIGNATURE AND ARBITRATION DISCLOSURES

You acknowledge that this Replicate Account Form shall act as an amendment to the Account Application and Agreement ("Agreement") and Beneficial Owner Information/Know Your Customer Profile - Entity form (if applicable) you also signed, and you agree to the changes herein. All terms and conditions in the Agreement, including the trading authorization granted therein, Pershing's Margin Agreement, if applicable to the replicate accounts, and the Arbitration Disclosures, shall apply to this account.

YOU ACKNOWLEDGE RECEIVING A COPY OF THE AGREEMENT.

If Investment Advisor signs this form on behalf of Account Holder(s), Investment Advisor further represents that the Account Holder(s) has authorized it to sign on its behalf. Electronic signatures have the same legal effect, validity or enforceability as a manually executed signature or physical delivery thereof, to the extent and as provided for in any applicable law.

Account Holder/Trustee/Corporate Officer

Print Name	Date
Signature X	

Joint Account Holder/Trustee (if applicable)

Print Name	Date
Signature X	

Investment Advisor on Behalf of Account Holder(s)

Print Name	Date
Signature X	