

# Corestone® Electronic Only Account Application

PERSHING ADVISOR SOLUTIONS LLC

## STEP 1. ESTABLISH YOUR CORESTONE ACCOUNT

Account Title	Account Number
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## STEP 2. SELECT ACCOUNT FEATURES

**Account Type** - Please select ONE option

Electronic Checking Account\*  Platinum Debit Card  Both

\*If you would like a paper checkbook, please complete the Corestone Account Application.

**Additional information required if checking account is selected:**

Describe the purpose for using this product
Country where most transactions will occur
<b>Expected monthly average volume</b> of total debit transactions (at aggregate level) associated with the Corestone Checking product. Select one: <input type="checkbox"/> 1- 10 Transactions <input type="checkbox"/> 10-50 Transactions <input type="checkbox"/> 50-100 transactions <input type="checkbox"/> 100-500 transactions <input type="checkbox"/> >500 transactions
<b>Expected monthly average value (USD)</b> of total debit transactions (at aggregate level) associated with the Corestone Checking product. Select one: <input type="checkbox"/> \$0-\$1,000 <input type="checkbox"/> \$1,000-\$10,000 <input type="checkbox"/> \$10,000-\$100,000 <input type="checkbox"/> \$100,000-\$500,000 <input type="checkbox"/> \$500,000-\$1,000,000 <input type="checkbox"/> \$1,000,000 - \$5,000,000 <input type="checkbox"/> >\$5,000,000

### Alternate Mailing Address\*\* (optional)

Your debit card will be sent to the primary mailing address for your brokerage account unless an alternate address is entered below. A letter containing your checking account number will be uploaded to your online Investor Portal.

Address		
City	State	Zip/Postal Code
Province/County/Subdivision	Country	

\*\*Debit Cards requested to an alternate address are required to be shipped via overnight mail. Additional Fees may apply. A letter containing your checking account number will be uploaded to your online Investor Portal.

## STEP 3. ENROLL IN BILLSUITE™

**Optional: Available ONLY for accounts with electronic checking that are domiciled in the U.S.**

BillSuite enables you to view, pay and manage your bills online. BillSuite is available at no additional charge. For details please contact your Registered Investment Advisor and/or your Introducing Financial Institution.

Enroll in BillSuite

## STEP 4. ADD A CORPORATE NAME TO YOUR VISA® DEBIT CARD

**Optional: Available ONLY for corporate accounts.**

If you elect to receive a debit card, and prefer the name of the corporation to appear on your Visa® debit card in addition to the cardholder's name, please provide the name of the corporation below (a maximum of 21 characters including spaces).

Name of Corporation
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## STEP 5. ACCOUNT AGREEMENT

To open a Corestone account, please read this section carefully and the following section to accept the terms.

I hereby authorize my financial organization to open a brokerage account with Pershing LLC ("Pershing") for and under my Brokerage Account Number as indicated above. I further authorize PNC Bank, N.A. to issue Visa® debit card(s) and the Bank of New York Mellon to issue checks as indicated on this application. Prior to signing below, I have received and read the Corestone Account Agreement, as currently in effect and as amended from time to time, which governs my Corestone account and associated debit card, checkwriting and related services, and I agree to be bound by such Account Agreement.



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Interest on debit balances will be charged and compounded in accordance with the Corestone Account Agreement, as applicable.

New York Stock Exchange Rule 407 prohibits certain account holders from engaging in margin transactions without their employer’s prior written consent. Pershing may suspend execution of any trades in my account pending receipt of this consent.

**I UNDERSTAND THAT PERSHING WILL GRANT ME MARGIN PRIVILEGES FOR MY CORESTONE ACCOUNT UNLESS PROHIBITED BY LAW, OR BY MY REQUEST AS INDICATED BY CHECKING THE BOX BELOW.**

**I UNDERSTAND THAT IF I HAVE ALREADY BEEN APPROVED FOR MARGIN PRIVILEGES, AND HAVE MARGIN PAPERS ON FILE; MY ACCOUNT WILL AUTOMATICALLY BE CODED FOR MARGIN EVEN IF I CHECK THE BOX BELOW. IF I WISH TO REMOVE MARGIN FROM MY ACCOUNT, I SHALL CONTACT MY FINANCIAL ORGANIZATION.**

I do not want margin privileges.

This agreement, with respect to all portions of Corestone account, including interest charges on loans Pershing may make to me, will be governed by, and interpreted under, the laws of the State of New York. The terms of my agreement with PNC Bank, N.A. are governed by Pennsylvania law.

By signing this application, I accept the terms of the enclosed Corestone Account Agreement, and BillSuite program rules where applicable.

**STEP 6. SIGNATURES OF ACCOUNT OWNER(S), AUTHORIZED PERSONS (MANDATORY)**

All Individual and Joint Account Owners must sign below and agree to the terms of the Account. For corporate, limited liability company, partnership, trust or other legal entity Accounts, all authorized persons who have authority over the Account must sign below and agree to the terms of the Account.

**BY SIGNING THIS APPLICATION, I ACKNOWLEDGE THAT SECURITIES NOT FULLY PAID FOR BY ME MAY BE LOANED TO PERSHING OR LOANED OUT BY PERSHING TO OTHERS.**

**I AGREE THAT THIS AGREEMENT CONTAINS A PREDISPUTE ARBITRATION CLAUSE, WHICH IS LOCATED IN SECTIONS B.23 AND B.24 ON PAGES 5 AND 6 IN THE AGREEMENT. I ACKNOWLEDGE RECEIVING A COPY OF THIS AGREEMENT.**

Print Name (maximum 21 characters)		
Social Security or Tax ID Number	Mother’s Maiden Name or Code Name (max 13 characters)	Date of Birth
Signature <b>X</b>		Date

Print Name (maximum 21 characters)		
Social Security or Tax ID Number	Mother’s Maiden Name or Code Name (max 13 characters)	Date of Birth
Signature <b>X</b>		Date

# Corestone™ E-Only Account Application

PERSHING ADVISOR SOLUTIONS LLC

Account Number

Print Name (maximum 21 characters)		
Social Security or Tax ID Number	Mother's Maiden Name or Code Name (max 13 characters)	Date of Birth
Signature  X		Date

Print Name (maximum 21 characters)		
Social Security or Tax ID Number	Mother's Maiden Name or Code Name (max 13 characters)	Date of Birth
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