



HARBOUR INVESTMENTS, INC. FEE SCHEDULE

Clearing Charges*

Asset Based Pricing (Blended Scale)

\$75,000 Account Minimum Balance
\$175 Minimum Advisory Fee

\$0 to \$250,000	.24 bps
\$250,001 to \$500,000	.23 bps
\$500,001 to \$700,000	.19 bps
\$700,000 to \$1,000,000	.18 bps
Above \$1,000,000	.16 bps

Transaction Based Pricing

Listed & OTC Equities	\$8.50 Flat ticket charge
Options equity/index	\$1.00 per contract (\$10 min charge)
Option Assignment	\$25 in addition to regular charges
Alternative Investment	\$50

Mutual Funds*

Load/No-Load Purchase	\$12
Load/No-Load Redemption	\$12
Fund-Vest Purchase / Redemption	\$0**
Mutual Fund Exchanges	\$15
Mutual Fund DCA	\$0*
Fixed Income	\$20 per ticket
UIT's	\$35 per ticket

*These charges represent the standard costs. Harbour reserves the right to pass through additional charges.

** FundVest charge for redemption prior to holding 3 months - \$50.00

*** See attached surcharge list of funds for companies that charge \$25 per ticket.

Short Interest/Debits are charged to the client account.

Customer Charges*

Overnight Check	\$0
Margin Extension	\$10
Returned checks/ACH	\$25
Stop Payment on Pershing Issued Check	\$10
Fed Wire Funds	\$20
Inactivity Fee	\$0
Dividend Reinvestment Fee	\$10
Register & Ship Cert Fee	Varies by Transfer Agent
Legal & GNMA Transfer	\$0
Voluntary Reorg Charges	\$0
Mandatory Reorg Charges	\$5/position
Customer Name Safekeeping	\$5/month/position
Domestic/Foreign Security Safekeeping	\$50-\$75
Foreign Security Clearing (in addition to standard charges)	\$35/item/year
Private Placement/ LPs	\$50/item
Subscription/Redemption Fee	\$100
Account Transfer Out	\$0
DK items	\$0
Busted Trade	\$0
Cost Basis	\$0

Performance Package with Quarterly Performance Reports

Basic Package- \$75/account/year

Full Package- \$125/account/year

Registered Alternative Investment	\$35
Unregistered Alternative Investment	\$125
1099 Tax and Year End Statements (must enroll for all clients or none)	\$2/account
Paper Statements, Confirmations	\$2/item mailed

Retirement Plans Fee*

Traditional and Roth IRA Annual Fee	\$0
Mutual Fund Only IRA/Roth IRA	\$0
Qualified Plan Annual Fee	\$50
Termination Fee on all IRA's	\$100
Mutual Fund Only to Standard IRA conversion	\$50

Corestone Checkwriting/Debit Card****

Corestone Platinum	\$0
Corestone Platinum Corporate	\$0

*These charges represent the standard costs. Harbour reserves the right to pass through additional charges.

****Refer to Corestone Fee Schedule for a complete listing of fees

-updated as of 4-13-26