

ACH, Federal Funds Wire and Check Request Verbal Confirmation

PERSHING ADVISOR SOLUTIONS LLC

Pershing Advisor Solutions (“PAS”), in an effort to prevent fraudulent activity, requires confirmation from advisors that their clients have requested to have funds sent out of their account held with us via ACH, federal fund wire and check request.

For all ACH requests (both initial instructions and replacement of existing instructions), Fed Funds wire requests and Third Party check requests, please complete the below attestation and submit to us with the required ACH Authorization Agreement, Federal Funds Wire Request Form, Check Request Form or Letter of Authorization.

When uploading this document in NetX360®, please select either “ACH Authorization Forms”, “Check Request” or “Federal Fund Wire” as the document type based on the request being initiated.

STEP 1. TRANSACTION INFORMATION

Advisory Firm	Account Number -
Account Title	
Disbursement Amount	Disbursement Date - -
Purpose/Reason for Instruction	

STEP 2. ADVISOR VERIFICATION

With my signature below, I represent that the following are true regarding these client instructions and the respective client Letter of Authorization (LOA):

- The client instructions as described in this LOA have been reviewed and approved and are in full compliance with our firm’s policies and procedures.
- I have verbally confirmed with the account holder(s) or those authorized to act on their behalf **via outbound phone call to a phone number on record or in person** that the LOA instructions are authentic and the signature(s) on the instructions is their own.
- Our firm understands that it is fully responsible for any loss caused by fraudulent disbursement instructions presented to PAS for approval.
- I warrant that I am an authorized signor of my firm and/or authorized to act on its behalf.

Advisor Name	Date - -
Signature X	
Title and Position	