

# Harbour Traded Manager Platform Form

**SECTION #1: ACCOUNT INFORMATION**

Account Owner(s): \_\_\_\_\_

Account Type(s): \_\_\_\_\_

Account # ('s) \_\_\_\_\_ Initial Account Value(s)\$ \_\_\_\_\_

Platform Advisory Fee: \_\_\_\_\_%                      Platform Admin Fee: 0.15%

Billing Frequency: \_\_\_\_\_ Monthly in Advance

Ticket Charges (when applicable) will be paid by: Client

**SECTION #2 INVESTMENT MANAGEMENT SELECTION LIST (SEE PAGE 2)**

**SECTION #3 TRADING AUTHORIZATION**

Account Owner(s) hereby grants Investment Advisor (Advisor) and Harbour Investments, Inc. (Harbour) complete, unlimited discretionary trading authorization and Advisor/Harbour as agent and attorney-in-fact with respect to the above account(s). Pursuant to such authorization, Advisor/Harbour may, in their sole discretion, trade in the securities provided by the allocations selected by advisor. Advisor shall also have the authority to sign on account owner(s) behalf to change allocation invested in.

This trading authorization is a continuing one and shall remain in full force and effect until terminated by Account Owner, Advisor or Harbour pursuant to the provisions of this Amendment. The termination of this authorization will constitute a termination of this amendment.

**SECTION #4 SIGNATURES**

You acknowledge that this Harbour Traded Manager Platform Form shall act as an amendment to the Harbour Investments, Inc. Asset Management Services Agreement ("Agreement") and/or an attachment to the Third-Party Manager Program Service Agreement, and you agree to the terms herein. All the original terms and conditions in the Agreement will become effective again at the termination of this Amendment, if applicable. I/We authorize our Investment Advisor to sign this form on my/our behalf for any future changes to Investment Options for above listed account(s).

**ACCOUNT OWNER: PLEASE PRINT AND SIGN**

**PRINT:** \_\_\_\_\_ **SIGN:** \_\_\_\_\_ **DATE:** \_\_\_\_\_  
ACCOUNT OWNER

**PRINT:** \_\_\_\_\_ **SIGN:** \_\_\_\_\_ **DATE:** \_\_\_\_\_  
JOINT ACCOUNT OWNER

If Investment Advisor signs this form on behalf of Account Holders(s), Investment Advisor further represents that the Account Holders(s) has authorized it to sign on its behalf.

**Investment Advisor on Behalf of Account Holder(s)**

**PRINT:** \_\_\_\_\_ **SIGN:** \_\_\_\_\_ **DATE:** \_\_\_\_\_

## SECTION #2: INVESTMENT OPTIONS:

### American Funds Models

#### (Minimum \$25,000)

- Conservative Growth and Income Strategy (F2)
- Conservative Income Model (F2)
- Global Growth Strategy (F2)
- Growth & Income Strategy (F2)
- Growth Strategy (F2)
- Moderate Growth Strategy (F2)
- Moderate Growth & Income Strategy (F2)
- Preservation Strategy (F2)
- Tax Exempt Preservation Strategy (F2)

### Blackrock Target Allocation Multi Manager ETF Strategies (Minimum \$10,000)

- 0% Equities/100% Fixed Income
- 10% Equities/90% Fixed Income
- 20% Equities/80% Fixed Income
- 30% Equities/70% Fixed Income
- 40% Equities/60% Fixed Income
- 50% Equities/50% Fixed Income
- 60% Equities/40% Fixed Income
- 70% Equities/30% Fixed Income
- 80% Equities/20% Fixed Income
- 90% Equities/10% Fixed Income
- 100% Equities/0% Fixed Income

### I Shares Allocation ETF Portfolios

#### (\$0 Minimum)

- Conservative Allocation
- Moderate Allocation
- Growth Allocation
- Aggressive Growth Allocation

### Russell Investments Tax-Managed Portfolios

#### (\$25,000 Minimum)

- Conservative
- Moderate
- Moderate Growth
- Balanced
- Balanced Growth
- Growth
- Equity Growth

### Blackrock ESG Target Allocation ETF Strategies

#### (Minimum \$25,000)

- 0% Equities/100% Fixed Income
- 10% Equities/90% Fixed Income
- 20% Equities/80% Fixed Income
- 30% Equities/70% Fixed Income
- 40% Equities/60% Fixed Income
- 50% Equities/50% Fixed Income
- 60% Equities/40% Fixed Income
- 70% Equities/30% Fixed Income
- 80% Equities/20% Fixed Income
- 90% Equities/10% Fixed Income
- 100% Equities/0% Fixed Income

### First Trust Strategic Focus ETF Model Portfolios

#### (\$25,000 Minimum)

- All Equity Model
- Alternative Model
- Equity Income Model
- International Equity Model
- RBA US Equity ETF Model

### First Trust Strategic Risk ETF Model Portfolios

#### (\$25,000 Minimum)

- Conservative Model
- Conservative Growth Model
- Balanced Growth Model
- Moderate Growth Model
- Aggressive Growth Model

### State Street Active Allocation ETF Portfolios

#### (\$10,000 Minimum)

- Conservative
- Moderate Conservative
- Moderate
- Moderate Growth
- Growth
- Maximum Growth

### State Street Strategic Allocation ETF Portfolios

#### (\$5,000 Minimum)

- Conservative
- Moderate Conservative
- Moderate
- Moderate Growth
- Growth
- Maximum Growth

### State Street Tax-Sensitive Strategic ETF Models

#### **(\$5,000 Minimum)**

- Conservative
- Moderate Conservative
- Moderate
- Moderate Growth
- Growth

### Vanguard CRSP Series ETF Portfolios

#### **(Minimum \$25,000)**

- 100% Fixed Income
- 10% Equity / 90% Fixed Income
- 20% Equity / 80% Fixed Income
- 30% Equity / 70% Fixed Income
- 40% Equity / 60% Fixed Income
- 50% Equity / 50% Fixed Income
- 60% Equity / 40% Fixed Income
- 70% Equity / 30% Fixed Income
- 80% Equity / 20% Fixed Income
- 90% Equity / 10% Fixed Income
- 100% Equity Portfolio

### Vanguard Russell Series ETF Portfolios

#### **(Minimum \$25,000)**

- 100% Fixed Income
- 10% Equity / 90% Fixed Income
- 20% Equity / 80% Fixed Income
- 30% Equity / 70% Fixed Income
- 40% Equity / 60% Fixed Income
- 50% Equity / 50% Fixed Income
- 60% Equity / 40% Fixed Income
- 70% Equity / 30% Fixed Income
- 80% Equity / 20% Fixed Income
- 90% Equity / 10% Fixed Income
- 100% Equity Portfolio

### Vanguard Tax-Efficient Series ETF Portfolios

#### **(Minimum \$25,000)**

- 100% Fixed Income
- 10% Equity / 90% Fixed Income
- 20% Equity / 80% Fixed Income
- 30% Equity / 70% Fixed Income
- 40% Equity / 60% Fixed Income
- 50% Equity / 50% Fixed Income
- 60% Equity / 40% Fixed Income
- 70% Equity / 30% Fixed Income

### Vanguard Core Series ETF Portfolios

#### **(Minimum \$10,000)**

- 100% Fixed Income
- 10% Equity / 90% Fixed Income
- 20% Equity / 80% Fixed Income
- 30% Equity / 70% Fixed Income
- 40% Equity / 60% Fixed Income
- 50% Equity / 50% Fixed Income
- 60% Equity / 40% Fixed Income
- 70% Equity / 30% Fixed Income
- 80% Equity / 20% Fixed Income
- 90% Equity / 10% Fixed Income
- 100% Equity Portfolio

### Vanguard Income Series ETF Portfolios

#### **(Minimum \$25,000)**

- 100% Fixed Income
- 10% Equity / 90% Fixed Income
- 20% Equity / 80% Fixed Income
- 30% Equity / 70% Fixed Income
- 40% Equity / 60% Fixed Income
- 50% Equity / 50% Fixed Income
- 60% Equity / 40% Fixed Income
- 70% Equity / 30% Fixed Income
- 80% Equity / 20% Fixed Income
- 90% Equity / 10% Fixed Income
- 100% Equity Portfolio

### Vanguard S&P Series ETF Portfolios

#### **(Minimum \$25,000)**

- 100% Fixed Income
- 10% Equity / 90% Fixed Income
- 20% Equity / 80% Fixed Income
- 30% Equity / 70% Fixed Income
- 40% Equity / 60% Fixed Income
- 50% Equity / 50% Fixed Income
- 60% Equity / 40% Fixed Income
- 70% Equity / 30% Fixed Income
- 80% Equity / 20% Fixed Income
- 90% Equity / 10% Fixed Income
- 100% Equity Portfolio

### Vanguard Tax-Efficient Series ETF Portfolios

#### **(Cont)**

- 80% Equity / 20% Fixed Income
- 90% Equity / 10% Fixed Income
- 100% Equity Portfolio