HARBOUR INVESTMENTS, INC.

Fixed Insurance & Annuities Transmittal Form

Licensing appointments should be verified prior to submitting business, call Harbour if you have licensing questions.

Date: _____

Marketing Group Business submitted thru: _____

Client name:_____

Issuer name:_____

Original paperwork submitted to:

☐ Insurance Co. ☐ Marketing Group ☐ Harbour

Type of product:

☐ Universal Life Face Amount $ _____Est. commission: $ _____

☐ Term Life Face Amount $_____ Est. commission: $ _____

☐ Annuity Investment Amount $ _____Est. commission: $ _____

☐ Deferred ☐ SPIA

☐ Indexed – All paperwork submitted to insurance company must be included.

How will commission be paid to you? ☐ Directly from insurance company

☐ Commissions have been assigned to be paid through Harbour

Forms attached:

☐ Application

☐ Customer Investment Profile ☐ CIP Form on file

☐ Letter of Understanding ☐ Liquidation Form

☐ Check payable to insurance co. Amount:$ _____

**** IMPORTANT ****

Source of Funds _____

Replacement? ☐ Yes ☐ No

With What Company? _____

Any Surrender Charges? Yes ☐ No ☐ Amount: $_____

Additional information:

_____

_____

Representative name _____ No. _____

Please make sure this form is complete to insure proper credit.