HARBOUR INVESTMENTS, INC.
Registered Investment Advisor & Independent Broker Dealer

Deposit Instruction Form

(For use with Checks, ACHs or Fed Wires)

Client Name(s): ____ Deposit Amount:$________________

Financial Professional Name: ____ Rep #: ________________

Source of Funds (if funds don't match something below, contact Home Office for guidance):

☐ **Earned Income** ☐ **Gift/Inheritance** ☐ **Rents/Real Estate Sale** ☐ **Dividends/Interest/Cap Gains** ☐ **Gambling Winnings/Lottery**
☐ **Insurance Beneficiary Proceeds** ☐ **Legal Settlement** ☐ **Loan Repayment/Accounts Receivable** ☐ **Sale of Business**
☐ **Alimony**

The following sources require a Liquidation form or Company Retirement Plan Liquidation form. **Submitted?** ☐ **Yes** ☐ **No**
☐ **Mutual Fund/Annuity Sale or Other Investment Proceeds** ☐ **Pension Proceeds/IRA Rollover/Retirement Plan Proceeds**

Deposit Type and Location:

☐ **Clearing Firm Check** (Make Payable to Clearing Firm)**:** ☐ **Pershing** ☐ **Pershing Advisor Solutions (PAS)** ☐ **Schwab**

☐ **Direct Business Check** (Annuity, Mutual Fund Company, Fixed Insurance Products) ☐ **ACH** ☐ **Fed Funds Wire**

Clearing Firm Account#(s) Receiving the Funds ____

Direct Business Check Instructions:

VA / Fund Name	Share Class	Account Number	Amount
			$
			$
			$

Attach Copy of Check below:

If this is an ongoing periodic deposit: ☐ Semi-Monthly ☐ Monthly ☐ Quarterly ☐ Annually Day of the Month______________

Contribution Year ☐ **Prior Year** ☐ **Current Year**

Special Instructions:

INFORMED CONSENT OF REG BI: By submitting this form, the financial professional acknowledges that the client has been provided access to Harbour's Form CRS and/or Harbour's Best Interest Disclosure. Access may be attained electronically by visiting https://harbourinv.com/clients/regulation-bi/, on paper, or by other means.