



Deposit Instruction Form

(For use with Checks, ACHs or Fed Wires)

Client Name(s): _____ Deposit Amount:\$ _____

Financial Professional Name: _____ Rep #: _____

Source of Funds (if funds don't match something below, contact Home Office for guidance):

- Earned Income Gift/Inheritance Rents/Real Estate Sale Dividends/Interest/Cap Gains Gambling Winnings/Lottery
- Insurance Beneficiary Proceeds Legal Settlement Loan Repayment/Accounts Receivable Sale of Business
- Alimony

The following sources require a Liquidation form or Company Retirement Plan Liquidation form. Submitted? Yes No

- Mutual Fund/Annuity Sale or Other Investment Proceeds Pension Proceeds/IRA Rollover/Retirement Plan Proceeds

Deposit Type and Location:

- Clearing Firm Check (Make Payable to Clearing Firm): Pershing Pershing Advisor Solutions (PAS) TD Ameritrade Schwab
- Direct Business Check (Annuity, Mutual Fund Company, Fixed Insurance Products) ACH Fed Funds Wire

Clearing Firm Account#(s) Receiving the Funds _____

Direct Business Check Instructions:

<u>VA / Fund Name</u>	<u>Share Class</u>	<u>Account Number</u>	<u>Amount</u>
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____

Attach Copy of Check below:

If this is an ongoing periodic deposit: Semi-Monthly Monthly Quarterly Annually Day of the Month _____

Contribution Year Prior Year Current Year

Special Instructions:

INFORMED CONSENT OF REG BI: By submitting this form, the financial professional acknowledges that the client has been provided access to Harbour's Form CRS and/or Harbour's Best Interest Disclosure. Access may be attained electronically by visiting <https://harbourinv.com/clients/regulation-bi/>, on paper, or by other means.