TELEPHONE TRANSFER FORM

Please use one form per client

- ***ACCOUNT TITLE:*** ____

- ***TYPE OF ACCOUNT:*** ***INDIVIDUAL__ IRA__ ROTH IRA__ JTWROS__ TRUST__ UTMAIUGMA__ 401K__ OTHER__***

- ***LETTER OF UNDERSTANDING: [ ] ENCLOSED [ ] ON FILE***

DATE: .... *TIME:* ....

*DOLLAR AMOUNT: $*____________________ ***D0NE AT N.A.V.? [ ] Y [ ] N*** *LO/$* ____________

FROM: FUND: ____________________ *CLASS* *ACCT.#:* ____________

TO: FUND: .... *CLASS* *ACCT.#:* ....

CONFIRM# .... *TAKEN BY:* ....

DATE: ____________________ *TIME:* ____________________

*DOLLAR AMOUNT: $*____________________ ***D0NEATN.A.V.? [ ] Y [ ] N*** *LO/$* ____________

FROM: FUND: .... *CLASS* *ACCT.#:* ....

TO: FUND: .... *CLASS* *ACCT.#:* ....

CONFIRM# ____________________ *TAKEN BY:* ____________________

DATE: .... *TIME:* ....

*DOLLAR AMOUNT: $*____________________ ***D0NE AT N.A.V.? [ ] Y [ ] N*** *LO/$* ____________

FROM: FUND: ____________________ *CLASS* *ACCT.#:* ____________

TO: FUND: ____________________ *CLASS* *ACCT.#:* ____________

CONFIRM# ____________________ *TAKEN BY:* ____________________

- ***REPRESENTATIVE NAME:*** ________________________________ ***NO. __***

Please forward this form to Harbour after placing the transfer. **INFORMED CONSENT OF REG BI:** The client hereby makes the following representations about informed consent of the receipt of certain information from Harbour: I (we) acknowledge receipt of Harbour's Form CRS and understand its contents. I (we) agree to receive, and have received, delivery of Harbour's Best Interest Disclosure by visiting https://harbourinv.com/clients/regulation-bi/.