HARBOUR INVESTMENTS, INC.

Client Contact Form

This form is used to document contact with your Advisory clients. It should be used as a review of the items you discussed with your client.

Date ____________________ Rep # ____________________

Client Name(s) ____

Account Number(s) ____

Contacted by: ☐ In Person ☐ Phone ☐ Email

Items Discussed:

☐ Current Financial Situation

☐ Investment Objectives and Goals

☐ Risk Tolerance

☐ Current Holdings and Allocations

☐ Recommended Changes & Recommended Holds on current assets

Notes:

As the advisor for the client, I attest that all of the above information is complete and accurate, and that the client was able to ask questions during the review. Based upon the discussion of the client's Financial Situation, Investment Objectives, Goals and Risk Tolerance, I represent that the accounts the client holds are suitable and appropriate.

____ Date ____________________

Signature